

ET42019779845  
2/10/12  
1/13/12

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **DeWitt et al.**

Serial No.: \_\_\_\_\_

Filed: \_\_\_\_\_

For: **Method and system using hardware assistance for tracing instruction disposition information**

§ Group Art Unit: **Unknown**

§

§ Examiner: **Unknown**

§

§ Attorney Docket No.: **AUS920010717US1**

§

§

§

§

10057 U.S. PTO  
10/045337  
01/14/02

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Hon. Assistant Commissioner of Patents

Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

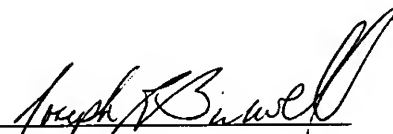
This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee or certification is required.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner.

In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(a) exists.


Date: December 7, 2001

Respectfully submitted,



Joseph R. Burwell  
Reg. No. 44,468  
ATTORNEY FOR APPLICANT

Law Office of Joseph R. Burwell  
P.O. Box 28022  
Austin, Texas 78755-8022  
(512) 502-9448 (voice)  
(512) 597-1218 (fax)

<b>Form PTO-1449</b>  <b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>		ATTORNEY DOCKET NO. <b>AUS920010717US1</b>		SERIAL NO.  <div style="text-align: center;">   <b>10/045337</b>  <small>10/14/02</small> </div>	
		APPLICANT			
		<b>DeWitt et al.</b>			
		FILING DATE		GROUP ART UNIT	

U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/SUBCLASS	FILING DATE
	3,659,272	04/25/1972	Price et al.	340/172.5	
	5,003,462	03/26/1991	Blaner et al.	364/200	
	5,594,864	01/14/1997	Trauben	395/183.15	
	5,632,028	05/20/1997	Thusoo et al.	395/500	
	5,659,679	08/19/1997	Alpert et al.	395/183.01	
	5,740,183	04/14/1998	Lowe	371/25.1	
	AUS920010714US1		Jimmie Earl DeWitt, Jr, et al.		1/14/02

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO

OTHER PRIOR ART <i>(including author, title, date, pertinent page, etc.)</i>	
	<i>AIX Version 4.3 Kernel and Subsystems Technical Reference, Vol. 1, "pinu Kernel Service", IBM Corp., 1997.</i>
	<i>Itanium™ Processor Microarchitecture Reference for Software Optimization, Intel Corp., 03/2000, pp. 1-28.</i>
	<i>Itanium™ IA-64 Architecture Software Developer's Manual, Vol. 4: Itanium™ Processor Programmer's Guide, Revision 1.1, Intel Corp., 07/2000, pp. 6-1 to 6-32.</i>
	<i>Itanium™ IA-64 Architecture Software Developer's Manual, Vol. 1: IA-64 Application Architecture, Revision 1.1, Intel Corp., 07/2000, pp. 2-1 to 2-8, 3-1 to 3-20, 4-1 to 4-35, 9-1 to 9-16, 10-1 to 10-16.</i>
	<i>Itanium™ IA-64 Architecture Software Developer's Manual, Vol. 2: IA-64 System Architecture, Revision 1.1, Intel Corp., 07/2000, pp. 2-1 to 2-4, 3-1 to 3-22, 5-1 to 5-36, 7-1 to 7-14.</i>

DATE CONSIDERED	EXAMINER
-----------------	----------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>Form PTO-1449</b>  <b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. <b>AUS920010717US1</b>		SERIAL NO.	
			APPLICANT <b>DeWitt et al.</b>			
			FILING DATE		GROUP ART UNIT	
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE	
	5,740,413	04/14/1998	Alpert et al.	395/568		
	5,752,013	05/12/1998	Christensen et al.	395/568		
	5,754,839	05/19/1998	Pardo et al.	395/568		
	5,922,070	07/13/1999	Swoboda et al.	712/244		
	5,987,598	11/16/1999	Levine et al.	712/227		
	6,067,644	05/23/2000	Levine et al.	714/47		
	6,094,730	07/25/2000	Lopez et al.	714/28		
	6,163,840	12/19/2000	Chrysos et al.	712/227		
	6,175,814 B1	01/16/2001	Chrysos et al.	702/182		
	6,195,748 B1	02/27/2001	Chrysos et al.	712/227		
	6,205,545 B1	03/20/2001	Shah et al.	712/237		
	6,223,338 B1	04/24/2001	Smolders	717/4		
<b>FOREIGN PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
<b>OTHER PRIOR ART (including author, title, date, pertinent page, etc.)</b>						
DATE CONSIDERED			EXAMINER			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						